

EXPRESS MAIL CERTIFICATE

Date 7/6/01 Label No. EL 903059999-45  
I hereby certify that, on the date indicated above, this paper or fee was deposited with the U.S. Postal Service & that it was addressed for delivery to the Assistant Commissioner for Patents, Washington, DC 20231 by "Express Mail Post Office to Addressee" service.

PLEASE CHARGE ANY DEFICIENCY UP TO \$300.00 OR CREDIT ANY EXCESS IN THE FEES DUE WITH THIS DOCUMENT TO OUR DEPOSIT ACCOUNT NO. 04-0100

B.W. Lee B.W. Lee  
Name (Print) Signature

Customer No.:



07278

PATENT TRADEMARK OFFICE

Docket No.: 2427/1G685US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Patrick F. KELLY ET AL.

Serial No.: 09/801,302

Filed: March 7, 2001

For: HIGH EFFICIENT GENE TRANSFER INTO HUMAN REPOPULATION STEM CELLS BY RD114 PSEUDOTYPED RETROVIRAL VECTOR PARTICLES  
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Box PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

COMPLETION OF PATENT APPLICATION

The following items are submitted herewith in completion of the above-identified patent application:

1. Declaration and power of attorney
2. Payment in the amount of \$ 105, (\$ 5 filing; \$ 40 recording; \$ 65 late) in the form of:
  - ☒ check
  - ☐ deposit account no. 04-0100
  - ☐ credit card (see attached form)(See attached **Fee Computation Sheet**)

3. ☐ Drawings, sheets (Figs. )

4. ☒ Assignment for recording to:  
ST. JUDE CHILDREN'S RESEARCH HOSPITAL

5. ☒ Applicant claims small entity status.  
**PARTIAL REFUND of all fees paid within last 2 months is REQUESTED.**

6. A copy of Notice to File Missing Parts of Application.

Priority is claimed for this application, corresponding application/s having been filed as follows:

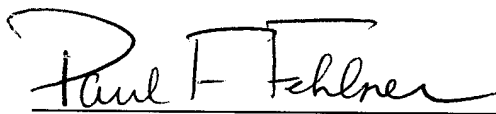
Country:	USA
Number:	60/187,534
Date:	MARCH 7, 2000

The priority documents ☐ are enclosed  
☐ will follow.  
☐ were filed in a previous application.

The Patent Office is authorized to charge any deficiency up to \$300.00 in the above fees, and to credit any excess, to our Deposit Account No. 4-0100.

Respectfully submitted,

Dated: 7/6/01

  
Paul F. Fehlner, Ph.D.  
Reg. No. 35,135  
Attorney for Applicant(s)

DARBY & DARBY P.C.  
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New York, NY 10022  
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Serial No. 09/801,302

Docket No. 2427/1G685US1



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PATENT FEE COMPUTATION SHEET

	No. of Claims Presented	Extra Claims Previously Paid For	Number of Extra Claims	Rate
Basic Fee . . . . .				\$0.00
Total Claims	37 - 20	- 0 = 17	x \$18.00	\$0.00
Independent Claims	4 - 3	- 0 = 1	x \$80.00	\$0.00
Multiple Dependent Claims		- if so, add	\$270.00	\$0.00
Surcharge for late submission of filing fee and/or declaration (\$130.00) . . . . .				\$130.00
SUBTOTAL . . . . .				\$130.00
[X] Small Entity REDUCTION (Half of Subtotal) . . . . .				\$65.00
Fee for recordation of assignment (\$40.00) . . . . .				\$40.00
Charge for filing non-English language application (\$130.00)				\$0.00
TOTAL . . . . .				\$105.00



#7

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/801,302	03/07/2001	Patrick F. Kelly	2427/1G685US1

CONFIRMATION NO. 2679

## FORMALITIES LETTER



\*OC000000006099076\*

DARBY & DARBY P.C.  
805 Third Avenue  
New York, NY 10022

Date Mailed: 05/21/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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65.00 DP